

**MINUTES OF CENTRAL OTAGO DISTRICT COUNCIL
AUDIT AND RISK COMMITTEE
HELD IN NGĀ HAU E WHĀ, WILLIAM FRASER BUILDING, 1 DUNORLING STREET,
ALEXANDRA
AND LIVE STREAMED VIA MICROSOFT TEAMS ON FRIDAY, 24 MARCH 2023 AT 9.30 AM**

PRESENT: Ms L Robertson (Chair), Cr T Alley, His Worship the Mayor T Cadogan, Cr N Gillespie (via Microsoft Teams)

IN ATTENDANCE: S Feinerman (Observer), S Righarts (Group Manager - Business Support), D Rushbrook (Group Manager - Community Vision), D Scoones (Group Manager - Community Experience), L Fleck (General Manager – People and Culture), J Muir (Three Waters Director), P Keenan (Capital Projects Programme Manager), N McLeod (IS Manager), A Crosbie (Senior Policy Advisor), C Green (Water Services Accountant), R Ennis (Health, Safety and Wellbeing Advisor), D McKewen (Accountant), P Bain (Water Services Team Leader) and W McEnteer (Governance Manager)

1 APOLOGIES

APOLOGY

COMMITTEE RESOLUTION

Moved: Robertson

Seconded: Cadogan

That the apology received from Cr Paterson be accepted.

-----**CARRIED**

2 PUBLIC FORUM

There was no public forum.

3 CONFIRMATION OF MINUTES

COMMITTEE RESOLUTION

Moved: Robertson

Seconded: Cadogan

That the public minutes of the Audit and Risk Committee Meeting held on 15 December 2022 be confirmed as a true and correct record.

-----**CARRIED**

4 DECLARATION OF INTEREST

Members were reminded of their obligations in respect of declaring any interests. There were no further declarations of interest.

5 REPORTS

Note: David Seath from Deloitte joined the meeting for item 23.1.2.

23.1.2 AUDIT NZ AND INTERNAL AUDIT UPDATE

To consider an update on the status of the external and internal audit programme.

After discussion it was noted that Council should be brought up to speed with the work being done at present via a report for their information. It was anticipated that this work would be completed to bring back to the June meeting.

COMMITTEE RESOLUTION

Moved: Robertson

Seconded: Cadogan

That the report be received.

CARRIED

23.1.3 PROCUREMENT AUDIT

To note that the final procurement audit from Deloitte has been received.

COMMITTEE RESOLUTION

Moved: Robertson

Seconded: Cadogan

That the report be received.

CARRIED

23.1.4 PROGRESS IN THE PREPARATION OF THE 2024-34 LONG-TERM PLAN

To receive an update on the progress in preparing the 2024-34 Long-term Plan.

After discussion it was agreed to send out the updates to Audit and Risk members in addition to Council members.

COMMITTEE RESOLUTION

Moved: Robertson

Seconded: Cadogan

That the Audit and Risk Committee:

- A. Receives the report and accepts the level of significance.
- B. Notes the progress in the preparation of the 2024-34 Long-term Plan.

CARRIED

23.1.5 POLICY AND STRATEGY REGISTER

To consider the Policy and Strategy Register.

After discussion it was agreed that staff should be in contact with Otago Regional Council to ensure that the Community Response Plans were in date and to report back to the June meeting with the outcome.

COMMITTEE RESOLUTION

Moved: Robertson

Seconded: Cadogan

That the report be received.

CARRIED

23.1.6 UPDATE ON THE THREE WATERS WORKS PROGRAM TO 30 JUNE 2024

To consider an update on the Three Waters works program.

COMMITTEE RESOLUTION

Moved: Robertson

Seconded: Cadogan

That the report be received.

CARRIED

23.1.7 HEALTH, SAFETY & WELLBEING REPORT

To provide the Audit & Risk Committee with an update on the health, safety and wellbeing performance of the organisation.

COMMITTEE RESOLUTION

Moved: Robertson

Seconded: Cadogan

That the report be received.

CARRIED

23.1.8 FINANCIAL REPORT FOR THE PERIOD ENDING 31 DECEMBER 2022

To consider the financial performance for the period ending 31 December 2022.

COMMITTEE RESOLUTION

Moved: Robertson

Seconded: Cadogan

That the report be received.

CARRIED

6 CHAIR'S REPORT**23.1.9 CHAIR'S REPORT**

The Chair had nothing to report.

7 MEMBERS' REPORTS**23.1.10 MEMBERS' REPORTS**

To consider the members' reports.

His Worship the Mayor noted the road and footpath in Clyde that leads up the hill to the water plant does not have a sign to say it was closed. It was reported that the road was closed properly now.

COMMITTEE RESOLUTION

Moved: Robertson
Seconded: Alley

That the reports be received.

CARRIED

8 STATUS REPORTS**23.1.11 MARCH 2023 GOVERNANCE REPORT**

To report on items of general interest and the current status report updates.

COMMITTEE RESOLUTION

Moved: Robertson
Seconded: Cadogan

That the report be received.

CARRIED

9 DATE OF THE NEXT MEETING

The date of the next scheduled meeting is 2 June 2023.

10 RESOLUTION TO EXCLUDE THE PUBLIC

COMMITTEE RESOLUTION

Moved: Robertson
Seconded: Cadogan

 That the public be excluded from the following parts of the proceedings of this meeting.

The general subject matter of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48 of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter	Ground(s) under section 48 for the passing of this resolution
Confidential Minutes of Ordinary Board Meeting	<p>s7(2)(c)(ii) - the withholding of the information is necessary to protect information which is subject to an obligation of confidence or which any person has been or could be compelled to provide under the authority of any enactment, where the making available of the information would be likely otherwise to damage the public interest</p> <p>s7(2)(g) - the withholding of the information is necessary to maintain legal professional privilege</p> <p>s7(2)(i) - the withholding of the information is necessary to enable Council to carry on, without prejudice or disadvantage, negotiations (including commercial and industrial negotiations)</p> <p>s7(2)(j) - the withholding of the information is necessary to prevent the disclosure or use of official information for improper gain or improper advantage</p>	s48(1)(a)(i) - the public conduct of the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist under section 6 or section 7
23.1.12 - Water Services Update on Compliance Status	s7(2)(g) - the withholding of the information is necessary to maintain legal professional privilege	s48(1)(a)(i) - the public conduct of the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist under section 6 or section 7
23.1.13 - Audit New Zealand Report To The Council on the 30 June 2022 Annual Report	s7(2)(i) - the withholding of the information is necessary to enable Council to carry on, without prejudice or disadvantage, negotiations (including commercial and industrial negotiations)	s48(1)(a)(i) - the public conduct of the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist under section 6 or section 7
23.1.14 - Strategic Risk Register	s7(2)(i) - the withholding of the information is necessary to enable Council to carry on, without prejudice or disadvantage, negotiations	s48(1)(a)(i) - the public conduct of the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for

	(including commercial and industrial negotiations)	which good reason for withholding would exist under section 6 or section 7
23.1.15 - Litigation Register	s7(2)(g) - the withholding of the information is necessary to maintain legal professional privilege	s48(1)(a)(i) - the public conduct of the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist under section 6 or section 7
23.1.16 - Cybersecurity, Information and Records Management, and Privacy Plans for 2022-2025 Implementation Update	s7(2)(j) - the withholding of the information is necessary to prevent the disclosure or use of official information for improper gain or improper advantage	s48(1)(a)(i) - the public conduct of the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist under section 6 or section 7
23.1.17 - March 2023 Confidential Governance Report	s7(2)(i) - the withholding of the information is necessary to enable Council to carry on, without prejudice or disadvantage, negotiations (including commercial and industrial negotiations)	s48(1)(a)(i) - the public conduct of the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist under section 6 or section 7

CARRIED

The public were excluded at 10.58 am and the meeting closed at 12.32 pm.